PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

June 21, 2006

Date

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(as)	Application Number	r	10/561,324		
O P E TRANSMITTAL	-	Filing Date		February 20, 2005	
JUN 26 2006 FORM	TORM		or	Brian A. Kraynack	
INN S. E.		Art Unit		To Be Determined	
to be used the divcorrespondence after	initial filing)	Examiner Name		To Be Determined	
Total Number of Pages in This Submission		Attorney Docket Nu	mber	ISIC0035-100 (CHEM0021 USA)	
	ENCLO	SURES (check all that	apply)		
Fee Transmittal Form	☐ Drawing(s			After Allowance Communication to TC	
Fee Attached	Licensing	-related Papers		Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply	Petition			Appeal Communication to TC Appeal Notice, Brief, Reply Brief)	
After Final		Convert to a al Application		Proprietary Information	
Affidavits/declaration(s)		Attorney, Revocation of Correspondence		Status Letter	
Extension of Time Request				Other Enclosure(s) (please identify below):	
Express Abandonment Request	Request for Refund CD, Number of CD(s)			Forms PTO SB/08a and SB/08b (5 pp.); (111) References Cited, (20) References Enclosed.	
Information Disclosure Statement	☐ Lar	andscape Table on CD			
Certified Copy of Priority Document(s)	Remarks				
Repty to Missing Parts/ Incomplete Application					
Reply to Missing Parts under 37 CFR1.52 or 1.53					
SIG	NATURE OF	APPLICANT, ATTOR	RNEY, O	R AGENT	
Firm	Cozen O'Conno	or			
Signature	7	22			
Printed Name Feng Shao					
Date	June 21, 2006		Reg. No.	54,733	
	CERTIFICA	TE OF TRANSMISS	ION/MA	ILING	
I hereby certify that this corresponder Service with sufficient postage as fi Alexandria, VA 22313-1450 on the dat	rst class mail	in an envelope addres	e USPTC sed to: (O or deposited with the United States Postal Commissioner for Patents, P.O. Box 1450,	
Signature		·			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Feng Shao, Registration No. 54,733

Typed or printed name

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Brian A. Kraynack et al.

Serial No.: 10/561,324

Group Art Unit: To Be Determined

Filing Date: February 20, 2005

Examiner: To Be Determined

For: DOUBLE STRANDED COMPOSITIONS COMPRISING A 3'-ENDO MODIFIED STRAND FOR USE IN GENE MODULATION

I certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA, 22313-1450.

On June 21, 2006

Feng Shao, Registration No. 54,733

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56 and in accordance with 37 C.F.R. §§ 1.97 and 1.98, information relating to the above-identified application is hereby disclosed, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO Forms SB/08A and SB/08B, formerly known as PTO Form 1449, submitted herewith.

Inclusion of the information submitted herewith is not to be construed as an admission that the information is material as that term is defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information	Disclosure	Statement is	being filed:
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	within three months of the filing date of the patent application.
	within three months of the date of entry into the national stage as set forth ir
	37 C.F.R. § 1.491 of the international application.
\boxtimes	before the mailing date of a first Office Action on the merits.

	after	the mailing date of a first Office Action on the merits, but before the				
	maili	ng date of a Final Office Action under 37 C.F.R. § 1.116 or a Notice of				
	Allov	wance under 37 C.F.R. § 1.311, and accordingly is accompanied by:				
		the Statement under 37 C.F.R. § 1.97(e) (see "Statement" below);				
		or				
		the Fee of \$180.00 set forth in 37 C.F.R. § 1.17(p); or				
		No fee is owed by the applicant(s).				
	In ac	cordance with 37 C.F.R. § 1.129(a), this Information Disclosure				
	State	ment is being filed in connection with the first or second After				
	Final	Submission, and accordingly is accompanied by the Statement under 37				
		R. § 1.97(e) (see "Statement" below) and the fee of \$180.00 as set forth in				
	37 C	.F.R. § 1.17(p), is attached.				
	after	the mailing date of a Final Office Action under 37 C.F.R. § 1.116 or a				
	Notic	ce of Allowance under 37 C.F.R. § 1.311, but before the payment of the				
		Issue Fee, and accordingly is accompanied by the Statement under 37 C.F.R.				
	§ 1.9	7(e), (see "Statement," and "Fees" below).				
\boxtimes	Copi	es of the references (except the U.S. Patent Documents) listed on the				
		attached PTO Forms SB/08a and SB/08b, formerly known as PTO Form 1449, are enclosed.				
EXC	EPT T	HAT:				
		In view of the voluminous nature of reference (a)(a), and the likelihood				
		that this reference is available to the Examiner, copies are not enclosed				
		herewith.				
		In accordance with 37 C.F.R. § 1.98(d), copies of the following				
		references listed on the attached PTO Form SB/08A and PTO Form				
		SB/08B, formerly known as PTO Form 1449 are not enclosed herewith				
		because they were previously cited by or submitted to the U.S. Patent				
		and Trademark Office in patent application(s) for which a claim for				
		priority under 35 U.S.C. § 120 have been made in the instant				
		application.				

		If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.
	Stater	nent under 37 C.F.R. § 1.97(e)
		The undersigned attorney hereby states that each item information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
	Fees	
	\boxtimes	No Fee is owed by the applicant(s).
		The Information Disclosure Statement Fee of \$180.00 under 37 C.F.R. § 1.17(p) is enclosed herewith.
	Meth	od of Payment of Fees
		Attached is a check in the amount of \$ This form is submitted in duplicate.
		Charge Deposit Account No. 50-1275 in the amount of \$180.00. This form is submitted in duplicate.
	\boxtimes	Please charge any deficiency or credit any overpayment to Deposit Account 50-1275.
		No fee or Statement is required under 37 C.F.R. § 1.97(b).
٠.		Respectfully submitted, Feng Shao Registration No. 54,33

Dated: June 21, 2006

COZEN O'CONNOR, P.C. 1900 Market Street, 5th Floor Philadelphia, PA 19103-3508 (215) 665-2000 – Telephone (215) 701-2013 - Facsimile

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

ISIC0035-100 (CHEM0021 USA)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Redu required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known 10/561,324 Application Number INFORMATION DISCLOSURE Filing Date February 20, 2005 STATEMENT BY APPLICANT First Named Inventor Brian A. Kraynack Art Unit To Be Determined (Use as many sheets as necessary) Examiner Name To Be Determined

Attorney Docket Number

Sheet

	U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Number	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant			
Initials *	No. ¹	Number - Kind Code ² (if known)			Passages or Relevant Figures Appear			
	AA	US-5,898,031	04-27-1999	Crooke et al.				
	AB	US-6,107,094	08-22-2000	Crooke et al.				
	AC	US-5,013,830	05-07-1991	Eiko				
	AD	US-5,149,797	09-22-1992	Pederson				
	AE	US-5,220,007	06-15-1993	Pederson				
	AF	US-5,256,775	10-26-1993	Froehler				
	AG	US-5,366,878	11-22-1994	Pederson				
	AH	US-5,403,711	04-04-1995	Walder				
	ΑI	US-5,491,133	02-13-1996	Walder				
	AJ	US-5,565,350	10-15-1996	Kmiec				
	AK	US-5,623,065	04-22-1997	Cook				
	AL	US-5,652,355	07-29-1997	Metelev				
	AM	US-5,652,356	07-29-1997	Agrawal	,			
	AN	US-5,700,922	12-23-1997	Cook				
	AO	US-4,981,957	01-01-1991	Lebleu				
	AP	US-5,118,800	06-02-1992	Fung				
***	AQ	US-5,319,080	06-07-1994	Leumann				

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	0.44	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,			
	Cite No.¹ Country Code³ - Number⁴ - Kind Code⁵ (if known		Date/Filing Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁵		
	AR	WO 00/44895	08-03-2000	Kreutzer, et al.				
	AS	WO 00/49035	08-24-2000	General Hospital				
	AT	WO 00/63364	10-26-2000	Am. Home Prod.				
	AU	WO 01/36641	05-25-2001	Chiron Corp				
	AV	WO 01/36646	05-25-2001	Cancer Res. Campaign Tech.				
•	AW	WO 99/32619	07-01-1999	Carnegie Inst.				
_	AX	WO 00/44914 ·	08-03-2000	Med. Coll of Georgia				
	AY	WO 01/29058	04-26-2001	Univ of MA				
	AZ	WO 01/75164	10-11-2001	Whitehead Inst.				

Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitu	ute for form 144	19A/PTO			Complete if Known
				Application Number	10/561,324
INF	ORMAT	ION DIS	CLOSURE	Filing Date	February 20, 2005
STA	STATEMENT BY APPLICANT			First Named Inventor	Brian A. Kraynack
				Art Unit	To Be Determined
	(Use as m	any sheets as	necessary)	Examiner Name	To Be Determined
Sheet	2	of	5	Attorney Docket Number	ISIC0035-100 (CHEM0021 USA)

	U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Number	Publication/Issue Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant			
Initials *	No. ¹	Number - Kind Code ² (if known)	MM-DD-YYYY		Passages or Relevant Figures Appear			
	BA	US-5,359,044	10-25-1994	Cook				
	BB	US-5,393,878	02-28-1995	Leumann				
	BC	US-5,446,137	08-29-1995	Maag				
	BD	US-5,466,786	11-14-1995	Buhr				
	BE	US-5,514,785	05-07-1996	Van Ness				
	BF	US-5,519,134	05-21-1996	Acevedo				
	BG	US-5,567,811	10-22-1996	Misiura				
	BH	US-5,576,427	11-19-1996	Cook	0			
	BI	US-5,591,722	01-07-1997	Montgomery				
	ВЈ	US-5,597,909	01-28-1997	Urdea				
	BK	US-5,610,300	03-11-1997	Altmann				
	BL	US-5,627,053	05-06-1997	Usman				
	BM	US-5,639,873	06-17-1997	Barascut				
	BN	US-5,646,265	07-08-1997	Mcgee				
	ВО	US-5,658,873	08-19-1997	Bertsch-Frank				
	BP	US-5,670,633	09-23-1997	Cook et al.				
	BQ	US-5,792,747	08-11-1998	Schally				
	BR	US-5,700,920	12-23-1997	Altmann	=			

	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant		
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date/Filing Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁸	
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Examiner Signature	Date Considered	

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Translation is attached.
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Substitu	te for form 144	9A/PTO			Complete if Known		
				Application Number	10/561,324		
INF	DRMATI	ON DIS	CLOSURE	Filing Date	February 20, 2005		
STA	TEMEN	T BY A	PPLICANT	First Named Inventor	Brian A. Kraynack		
				Art Unit	To Be Determined		
	(Use as ma	any sheets as	necessary)	Examiner Name	To Be Determined		
Sheet	3	of	5	Attorney Docket Number	ISIC0035-100 (CHEM0021 USA)		

	U.S. PATENT DOCUMENTS								
Examiner	Cite	Document Number	Publication/Issue Date MM-DD-YYYY	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant				
Initials *	No.1	Number - Kind Code ² (if known)		Cited Document	Passages or Relevant Figures Appear				
	BS	US-4,828,979	05-09-1989	Klevan	•				
	BT	US-4,948,882	08-14-1990	Ruth					
	BU	US-5,218,105	06-08-1993	Cook					
	BV	US-5,525,465	06-11-1996	Haralambidis					
	BW	US-5,541,313	07-30-1996	Ruth					
	BX	US-5,545,730	08-13-1996	Urdea					
	BY	US-5,552,538	09-13-1996	Urdea	·				
	BZ	US-5,578,717	11-26-1996	Urdea					
	CA	US-5,580,731	12-03-1996	Chang					
-	СВ	US-5,591,584	01-07-1997	Chang					
	CC	US-5,109,124	04-28-1992	Ramathandran					
	CD	US-5,118,802	06-02-1992	Smith					
-	CE	US-5,138,045	08-11-1992	Cook					
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	CH	US-5,512,439	04-30-1996	Hornes					
	CI	US-5,578,718	11-26-1996	Cook					
	CJ	US-5,608,046	03-04-1997	Cook					

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date/Filing Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				T [®]				
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Examiner Signature	Date Considered
Signature	Odrisiacica

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ISIC0035-100 (CHEM0021 USA) Sheet of Attorney Docket Number

F	0:4-	Document Number	Publication/Issue Date	Name of Patentee or		Pages, Columns, Lines, Where Relevant	
Examiner Initials *	Cite No. ¹	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document		Passages or Relevant Figures Appear	
	CK	US-4,587,044	05-06-1986	Miller			
	CL	US-4,605,735	08-12-1986	Miyoshi			
	CM	US-4,667,025	05-19-1987	Miyoshi			
	CN	US-4,762,779	08-09-1988	Snitman			
	CO	US-4,789,737	12-06-1988	Miyoshi			
	CP	US-4,824,941	04-25-1989	Gordon			
	CQ	US-4,835,263	05-30-1989	Nguyen			
	CR	US-4,876,335	10-24-1989	Yamane			
	CS	US-4,904,582	02-27-1990	Tullis			
	CT	US-4,958,013	09-18-1990	Letsinger			
	CU	US-5,082,830	01-21-1992	Brakel			
	CV	US-5,112,963	05-12-1992	Pieles			
	CW	US-5,214,136	05-25-1993	Lin			
	CX	US-5,082,830	01-21-1992	Brakel			
	CY	US-5,245,022	09-14-1993	Weis			
	CZ	US-5,254,469	10-19-1993	Warren			
	DA	US-5,258,506	11-02-1993	Urdea			
	DB	US-5,262,536	11-16-1993	Hobbs			
	DC	US-5,272,250	12-21-1993	Spielvogel			
	DD	US-5,292,873	03-08-1994	Rokita			
·	DE	US-5,317,098	05-31-1994	Shizuya			
	DF	US-5,371,241	12-06-1994	Brush	•		
1.	DG	US-5,391,723	02-21-1995	Priest			
	DH	US-5,416,203	05-16-1995	Letsinger			
	DI	US-5,451,463	09-19-1995	Nelson			
	DJ	US-5,510,475	04-23-1996	Agrawal			
	DK	US-5,512,667	04-30-1996	Reed			
	DL	US-5,514,785	05-07-1996	Van Nes	5		
	DM	US-5,565,552	10-15-1996	Magda	-		
	DN	US-5,567,810	10-22-1996	Weis			
	DO	US-5,574,142	11-12-1996	Meyer			
	DP	US-5,585,481	12-17-1996	Arnold			
	DQ	US-5,587,371	12-24-1996	Sessler			
	DR	US-5,595,726	01-21-1997	Magda			
	DS	US-5,597,696	01-28-1997	Linn			
	DT	US-5,599,923	02-04-1997	Sessler			
····	DU	US-5,599,925	02-04-1997	Torii		· -	
	DV	US-5,688,941	11-18-1997	Cook			
xaminer Signature				Date Considered			

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Substitute	for form 1449B/PT	0		Complete if Known		
	D T. O	D 10	OL COLIDE	Application Number	10/561,324	
			CLOSURE	Filing Date	February 20, 2005	
STATEMENT BY APPLICANT				First Named Inventor	Brian A. Kraynack	
				Art Unit	To Be Determined	
	(Use as many sh	eets as	necessary)	Examiner Name	To Be Determined	
Sheet	5	of	5	Attorney Docket Number	ISIC0035-100 (CHEM0021 USA)	

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials *	Cite No.1						
	DW	Boutla et al., "Short 5'-phosphorylated double-stranded RNAs induce RNA interference in Drosophila," Curr Biol (2001) 11(22):1776-1780.					
	DX	Brantl "Antisense-RNA regulation and RNA interference," Biochmica et Biophysica Acta (2002) 1575(1-3):15-25.					
D 40.4 Ma	DŸ	Chiu et al., "siRNA function in RNAi: a chemical modification analysis," RNA (2003) 9:1034-1048.					
-	DZ	Elbashir et al., "RNA interference is mediated by 21- and 22-nucleotide RNAs," Genes Dev (2001) 15(2):188-200.					
	EA	Elbashir et al., "Duplexes of 21-nucleotide RNAs mediate RNA interference in cultured mammalian cells," <i>Nature</i> (2001) 411(6836):494-498.					
	ЕВ	Elbashir et al., "Functional anatomy of siRNAs for mediating efficient RNAi in Drosophila melanogaster embryo lysate.," EMBO (2001) 20(23):6877-6888.					
	EC	Martinez et al., "Single-stranded antisense siRNAs guide target RNA cleavage in RNAi." <i>Cell</i> (2002) 110(5):563-574.					
	ED	Mellitzer et al, "Spatial and temporal 'knock down' of gene expression by electroporation of double-stranded RNA and morpholinos into early postimplantation mouse embryos," Mechanisms of Development (2002) 118(1-2):57-63.					
	EE	Parrish et al., "Functional anatomy of a dsRNA trigger: differential requirement for the two trigger strands in RNA interference," <i>Molecular Cell</i> (2000) 6(5):1077-1087.					
	EF	Schwarz et al., "Evidence that siRNAs function as guides, not primers, in the Drosophila and human RNAi pathways," Molecular Cell (2002) 10(3):537-548.					
	EG	Tuschl et al., "Targeted mRNA degradation by double-stranded RNA in vitro," Genes Dev (1999) 13(24):3191-3197.					

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